

UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket
No.

P02,0056

First Named Inventor or Application Identifier

Burghard Stöver et al,

Express Mail Label No: # EL843745595US

OLD 10/07/2001



ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

APPLICATION ELEMENTS

* See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Specification [Total Pages 46]
2. ☒ Drawing(s) (35USC 113) [Total Pages 4]
3. ☒ Declaration and Power of Attorney [Total Pages 2]

a. ☐ Newly executed (Original copy).

b. ☐ Copy from prior application (37CFR 1.63(d))
(for continuation/divisional with Box 14 completed)

i. ☐ [Note Box 4 Below]

DELETION OF INVENTOR(S)

Signed statement attached deleting
inventor(s) named in the prior application,
see 37 CFR 1.63(d)(2) and 1.33(b).

☐ Incorporation By Reference (usable if Box 3b is checked)
The entire disclosure of the prior application, from which a
copy of the oath or declaration is supplied under Box 3b,
is considered as being part of the disclosure of the
accompanying application and is hereby incorporated by
reference therein.

ACCOMPANYING APPLICATION PARTS

5. ☐ Assignment Papers (cover sheet & documentation)
6. ☒ Letter under 37 CFR 1.41(c).
7. ☐ English Translation Document (if applicable)
8. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
9. ☐ Preliminary Amendment
10. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
11. ☐ Small Entity Status (37 CFR 1.27)
12. ☒ Certified Copy of a Priority Document(s) European
Application No. 01103549.0 filed February 19, 2001
13. ☐ Other: _____

14. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) ☐ of prior application No: _____/_____

CLAIMS AS FILED

(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE
TOTAL CLAIMS 20	27	7	\$18.00	\$210.00
INDEPENDENT CLAIMS 3	4	1	\$84.00	\$84.00
ANY MULTIPLE DEPENDENT CLAIMS? (YES) (X) NO				
			TOTAL FILING FEE >	\$950.00

☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this application, or credit any overpayment to ACCOUNT NO. 501-519. A duplicate copy of this sheet is enclosed.

☒ A check in the amount of \$950.00 to cover the filing fee is enclosed.

15. CORRESPONDENCE ADDRESS

SCHIFF HARDIN & WAITE
Patent Department
6600 Sears Tower - 233 South Wacker Drive
Chicago, Illinois 60606
Telephone (312) 258-5500 - Fax (312) 258-5921

CUSTOMER NUMBER: 26574

SIGNATURE: M. Berger

JS/MB:BC

DATE: February 12, 2002

U-11

SCHIFF HARDIN & WAITE

A Partnership Including Professional Corporations

6600 Sears Tower, Chicago, Illinois 60606-6473
Telephone (312) 258-5500 Facsimile (312) 258-5700

Chicago
Washington
New York
Merrillville
Dublin

February 12, 2002

Hon. Assistant Commissioner for Patents,
Washington, D.C. 20231

RE: Proposed Patent Application for Burghard STÖVER, et al.; entitled:
"COMPUTER SYSTEM AND METHOD FOR SECURING MARKET
PROFITS OF FINANCIAL INSTRUMENT INVESTMENTS"
Attorney Docket No. P02,0056


Sir:

Under the provisions of 37 CFR §1.41 (c), I am filing the attached application with **27 claims, 4 sheets of drawings** and **filing fee** on behalf of **Burghard STÖVER, et al.** and request that the application papers be assigned a serial number and filing date.

On behalf of the Inventor(s) I hereby claim priority from German application No. 01103549.0, Filed on 19 February 2001.

I request that the application be assigned a Serial No. and Filing Date pursuant to the provisions of 37 CFR § 1.53(b) and 37 CFR § 1.53(f).

Respectfully submitted,



Mark Bergner
Attorney for Applicant

(Reg. No. 45,877)

CERTIFICATE OF MAILING BY EXPRESS MAIL

Express Mail Mailing Label Number EL 843745595 US

Date of Deposit: February 12, 2002

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to:

**Box Patent Application
Assistant Commissioner for Patents
Washington DC 20231**

Case Number: P02,0056

Applicant(s): Burghard STÖVER, et al.

Title: COMPUTER SYSTEM AND METHOD FOR SECURING MARKET PROFITS OF
FINANCIAL INSTRUMENT INVENTMENTS

Filing Fee



Signature of person mailing documents and fees

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28
29
30
31
32
33
34
35
36
37
38
39
40
41
42
43
44
45
46
47
48
49
50